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# Intramolecular [2 + 2] Photocycloaddition/Thermal Fragmentation: Formally "Allowed" and "Forbidden" Pathways toward 5-8-5 Ring Systems 

Scott J. Bader and Marc L. Snapper*<br>Contribution from the Department of Chemistry, Merkert Chemistry Center, Boston College, 2609 Beacon Street, Chestnut Hill, Massachusetts 02467<br>Received September 9, 2004; E-mail: marc.snapper@bc.edu


#### Abstract

The thermal fragmentation of highly functionalized, linear polycyclobutanes with a cis,syn,cisrelative stereochemistry is shown to offer a rapid entry into the dicyclopenta[a,d]cyclooctenyl (5-8-5) ring system. The thermolysis of polyfused cyclobutanes with a cis,syn,cis- or a cis,anti,cis-relationship proceeds in a formally "symmetry-allowed" manner through the intermediacy of a cis,trans-cyclooctadiene. When a bridging tether used to establish the cis,syn,cis-stereochemistry in the intramolecular [2+2] photocyclization is present in the thermolysis step, however, the result of a formally "symmetry-forbidden" fragmentation is observed yielding cis,cis-cyclooctadiene-containing 5-8-5 products. In general, the stereochemical observations noted in these fragmentations offer new opportunities for accessing a variety of stereochemical relationships in these 5-8-5 ring systems.


## Introduction

Martin and others have shown that cis,cis-1,5-cyclooctadiene is generated upon thermal fragmentation of cis,syn,cis- and cis,anti,cis-tricyclo[4.2.0.0 $0^{2,5}$ ]octanes (eq 1). ${ }^{11}$ Independent of whether this is a concerted, symmetry-allowed $\left[\sigma 2_{\mathrm{a}}+\sigma 2_{\mathrm{s}}\right]$ fragmentation ${ }^{2}$ or a stepwise, biradical process as shown, it is likely that the reaction proceeds through the intermediacy of a cis,trans-1,5-cyclooctadiene. ${ }^{3}$ The resulting strained cyclooctadiene can then isomerize through several Cope rearrangements to the observed cis,cis-1,5-cyclooctadiene. ${ }^{4}$ Due to the stereospecificity of Cope rearrangements, the configuration of one

[^0]of the allylic substituents on the cis,trans-cyclooctadiene becomes inverted in the resulting cis,cis-1,5-cyclooctadiene product.


Along these lines, we have applied this fragmentation in the rapid preparation of dicyclopenta $[a, d]$ cyclooctenyl ( $5-8-5$ ) ring systems, ${ }^{5}$ a framework related to several diterpene natural products. As illustrated in Scheme 1, we have shown that the thermolysis of substituted cis,anti,cis-polyfused cyclobutanes,

[^1]
## Scheme 1. Fragmentations to 5-8-5 Ring Systems



such as $\mathbf{1}$ and $\mathbf{3}$, yield the $5-8-5$ ring systems 2 and $\mathbf{4}$, respectively. Of particular importance, a unique stereochemical outcome is observed in these thermolyses, an outcome depending primarily on the relative stereochemistry of the fused thermal precursors. Substrate 1 rearranges to cyclooctadiene 2 with inversion of C-11 (eq 2 in Scheme 1), whereas substrate $\mathbf{3}$ yields cyclooctadiene 4 with inversion at C-3 (eq 3 in Scheme 1). The stereochemical differences in the products are the result of the stereospecific Cope rearrangements on the conformationally biased cis,trans-cyclooctadiene intermediates $\mathbf{A}$ and $\mathbf{A}^{\prime}$. The formation of either 5-8-5 product is consistent with both a stepwise, biradical mechanism and a symmetry-allowed [ $\sigma 2_{\mathrm{a}}$ $+\sigma 2_{\mathrm{s}}$ ] fragmentation.

In the interest of expanding our understanding of these thermal fragmentations, as well as to access stereochemically different 5-8-5 ring systems, we sought to prepare and explore the fragmentation of substituted cis,syn,cis-tricyclo[4.2.0.0]octanes, such as illustrated in eq 4. Of particular interest, especially considering potential natural product targets, is the relative stereochemistry of the cyclooctadiene products arising from these fragmentations. To overcome the known stereochemical preference in the $[2+2]$ photocycloaddition for generating the cis,anti,cis-polyfused product, we developed a new intramolecular route for accessing the desired cis,syn,cisthermolysis precursors. Reported herein are our findings on the intramolecular photocycloaddition of cyclopentenones tethered to functionalized cyclobutenes and the subsequent thermal fragmentation of the resulting cis,syn,cis-polyfused photoadducts.
 (eq 4)

## Scheme 2. Synthesis of the syn,cis,syn-Thermolysis Precursor $\mathbf{7 a}^{\text {a }}$


${ }^{a}$ (a) $\mathrm{LAH}, \mathrm{Et}_{2} \mathrm{O}, 0^{\circ} \mathrm{C}$ (98\%); (b) 1,2-cyclopentadione, Amberlyst 15, $\mathrm{CH}_{2} \mathrm{Cl}_{2}$, reflux (87\%); (c) h $v$ (Pyrex), acetone, $-78{ }^{\circ} \mathrm{C}(74 \%)$.

## Results and Discussion

We envisioned using cyclobutene 5, available through an intramolecular cycloaddition of a functionalized cyclobutadiene, ${ }^{6}$ as a convenient starting point for our studies (Scheme 2). The reduced ester could serve as a handle to link a cyclopentenone chromophore for the intramolecular [2 +2 ] photocycloaddition. Toward this goal, reduction of the methyl ester to the corresponding alcohol using LAH and an acid-catalyzed coupling to 1,2-cyclopentadione produced the desired photoprecursor $\mathbf{6}$. Irradiation of enone 6 through a Pyrex filter in acetone at -78 ${ }^{\circ} \mathrm{C}$ furnished the cis,syn,cis-polyfused cyclobutane system 7 in $74 \%$ yield. An X-ray crystallographic analysis of the product revealed the relative head-to-tail stereochemistry in this cycloaddition. As has been observed previously, the capto-dative stabilization of the $\alpha$-oxygen in the photoexcited state of the cyclopentenone ${ }^{7}$ overrides the usual "rule of five" regiochemical preference observed typically in this type of photocycloaddition (i.e., $\mathbf{6} \rightarrow \mathbf{7 b}$ ). ${ }^{8}$

In a related sequence, methyl ester $\mathbf{5}$ could also be converted in one step to Weinreb amide 8. ${ }^{9}$ Addition of cyclopentenyl anions could then furnish an exocyclic $\alpha, \beta$-unsaturated ketone that should produce the head-to-head cis,syn,cis-products in the photocycloaddition step. As illustrated in Scheme 3, the reaction of cyclopentenyllithium ${ }^{10}$ with the Weinreb amide $\mathbf{8}$ provides ketone 9 in $96 \%$ yield. Other lithiated cyclopentenes can also be prepared via a Shapiro reaction. ${ }^{11}$ For example, 2,2dimethylcyclopentanone ${ }^{12}$ can be transformed to the $2,4,6$ triisopropyltosylhydrazone upon treatment with the corresponding hydrazide. Treatment of this hydrazone with $n-\mathrm{BuLi}$ followed by addition of Weinreb amide $\mathbf{8}$ furnished compound $\mathbf{1 1}$ in $82 \%$ yield. Irradiation of either enone $\mathbf{9}$ or $\mathbf{1 1}$ in $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ at low temperature through a Pyrex filter provided the desired cis,syn,cis-polycyclic systems $\mathbf{1 0}$ or $\mathbf{1 2}$ in 70 and $50 \%{ }^{13}$ yields,

[^2]Scheme 3. Thermolysis Precursors 10 and $12^{\text {a }}$

${ }^{\text {a }}$ (a) $i$ - $\mathrm{PrMgCl}, N, O$-dimethylhydroxylamine hydrochloride, THF, -20 ${ }^{\circ} \mathrm{C}$ ( $99 \%$ ); (b) cyclopentenyllithium, THF, -78 to $0{ }^{\circ} \mathrm{C}$ ( $96 \%$ ); (c) 2,2dimethylcyclopentylhydrazone, $n$ - BuLi , THF, -78 to $0{ }^{\circ} \mathrm{C} ; \mathbf{8}$, THF, -78 to $0{ }^{\circ} \mathrm{C}(82 \%)$; (d) $\mathrm{h} v$ (Pyrex), $\mathrm{CH}_{2} \mathrm{Cl}_{2},-78{ }^{\circ} \mathrm{C}\left(70 \%, \mathbf{1 0} ; 50 \%,{ }^{13}\right.$ 12).

## Scheme 4. Synthesis of Photoadducts 15 and $16^{a}$


${ }^{a}$ (a) 6-Bromo-1,4-dioxaspiro[4.4]non-6-ene, $n$-BuLi, THF, $-78{ }^{\circ} \mathrm{C} ; \mathbf{5}$, THF, -78 to $0^{\circ} \mathrm{C}(94 \%)$; (b) $\mathrm{NaBH}_{4}, \mathrm{CeCl}_{3} \cdot 7 \mathrm{H}_{2} \mathrm{O}, \mathrm{MeOH}, 0^{\circ} \mathrm{C} ; 1 \mathrm{~N} \mathrm{HCl}$ (100\%); (c) h $v$ (Pyrex), $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ (57\% 15/16 (1:10)) or acetone/ $\mathrm{H}_{2} \mathrm{O}(72 \%$ 15/16 (7:1)).
respectively. An X-ray crystal structure confirmed the head-tohead cycloaddition stereochemistry in photoadduct $\mathbf{1 0}$.

To introduce additional functionality in the five-membered ring, the vinyllithium reagent derived from a protected cyclopentenone made by Smith et al. ${ }^{14}$ was used (Scheme 4). The acetal-protected cyclopentenyl bromide undergoes a lithiumhalogen exchange upon exposure to $n-\mathrm{BuLi}$ at $-78{ }^{\circ} \mathrm{C}$. Exposure of the cyclopentenyl anion to Weinreb amide $\mathbf{8}$ yields compound $\mathbf{1 3}$ in $94 \%$ yield. Irradiation of $\mathbf{1 3}$ under a variety of reaction conditions did not, however, lead to any desired photoadducts. In comparison, reduction of the exo- $\alpha, \beta$-unsaturated ketone, followed by a mild acidic workup, produced compound 14 in quantitative yield. Irradiation of enone $\mathbf{1 4}$ generates two photoadducts in a ratio that is dependent on the solvent employed. Photocycloaddition in a protic media, such as acetone/water, leads to compounds $\mathbf{1 5 / 1 6}$ in a $7: 1$ ratio. ${ }^{15}$ In an aprotic solvent, such as $\mathrm{CH}_{2} \mathrm{Cl}_{2}$, however, $\mathbf{1 5} / \mathbf{1 6}$ are produced in a 1:10 ratio. Our rationale for the regiochemical dependence of this photocycloaddition on solvent is based on the presence of an intramolecular hydrogen bond between the ketone and the $\beta$-hydroxyl group in aprotic solvents that restrict the accessible conformations, allowing the head-to-tail product 16 to prevail. Disruption of this hydrogen bond in protic solvents, however, relaxes the conformational preference of the molecule and favors formation of the head-to-head product 15.

With access to several highly substituted cis,syn,cis-polycyclobutane systems, we were well poised to examine their thermal fragmentations. In general, compounds were heated in a heavywalled sealed tube in degassed benzene with BHT (2 equiv) to a temperature at which the starting material began to convert.

Table 1. Symmetry-Forbidden Thermal Fragmentations of Bridged cis,syn,cis-Systems

| entry | substrate | temp. $\left({ }^{\circ} \mathrm{C}\right)$ | product | yield (\%) |
| :--- | :--- | :--- | :--- | :--- |


(2)

(3)

(4)

(5)

${ }^{a}$ X-ray crystal structure available in the Supporting Information.Thermolysis: benzene, BHT (2 equiv), heat.

Each substrate in Table 1 undergoes the thermal fragmentation to provide cleanly the ring-expanded cyclooctadiene products.

The relative stereochemistry of the fragmented products is particularly noteworthy. Whereas our previous thermal fragmentations of the cis,anti,cis-systems proceeded along a formal symmetry-allowed ${ }^{16}$ pathway resulting in an inversion of stereochemistry in the observed products (i.e., Scheme 1), the cis,syn,cis-substrates illustrated in Table 1 fragmented without additional stereochemical consequences. ${ }^{17}$ Each substrate reacted through a mechanism that is consistent with a "symmetryforbidden" $\left[\sigma 2_{\mathrm{s}}+\sigma 2_{\mathrm{s}}\right]$ fragmentation.

A possible explanation for the difference in fragmentations between the two ring systems is that the bridging tether present in the cis,syn,cis-ring system precludes the formation of the expected cis,trans-cyclooctadiene intermediate by not allowing the initially generated cyclohexyl diradical to rearrange through a chair transition state. ${ }^{3 \mathrm{~d}}$ For example, eq 5 illustrates a stepwise fragmentation of the cis,anti,cis-precursor 1. Presumably, the initially formed boat cyclohexyl diradical can relax into a chair conformation, which leads to cis,trans-cyclooctadiene intermediate $\mathbf{A}$. In contrast, eq 6 shows the generation of cyclooctadiene 18 from the cis,syn,cis-ring system 10. Note that the substituents and bridging carbonyl in $\mathbf{1 0}$ preclude the initially generated cyclohexyl diradical(s) from adopting a chair conformation.

To separate the stereochemical influence of the bridging tether from the cis,syn,cis-ring fusion stereochemistry in the thermoly-

[^3]
sis, we chose to examine the fragmentation in the absence of the bridging group. Baeyer-Villiger oxidation ${ }^{18}$ of ketone $\mathbf{1 0}$ using $m$-CPBA gave an inseparable mixture of the regiochemical lactones 22 and $\mathbf{2 3}$ in a 9:1 ratio (Scheme 5). Reduction of the lactones with LAH provided the two diols 24 and 25 , respectively, which now could be readily separated in overall $98 \%$ yield for the two steps. The minor regioisomer provided a solid that could be analyzed by X-ray crystallography; this indicated that the removal of the tether does not change the cis,syn,cisrelationship of the polyfused cyclobutane rings.

Scheme 5. Synthesis of Diols 24 and $25^{a}$

${ }^{a}$ (a) $m$-CPBA, $p$-TsOH, benzene ( $99 \%$, 22/23 (9:1)); (b) LAH, $\mathrm{Et}_{2} \mathrm{O}, 0$ ${ }^{\circ} \mathrm{C}$ (99\%, 24/25 (9:1)).

As illustrated in Scheme 6, heating diol 24 to $90{ }^{\circ} \mathrm{C}$ in benzene revealed, by ${ }^{1} \mathrm{H}$ NMR, a new diol $\mathbf{2 6}$ with three olefinic protons. A major difference from previous 5-8-5 thermolyses products, however, was the large, 18 Hz vicinal coupling between a pair of olefinic protons that was observed in this structure, an indication that the $5-8-5$ system possessed a trans-olefin in its cyclooctadienoid framework. This is not unprecedented since studies by Martin and Eisenmann revealed that cis,trans-cyclooctadiene is formed, along with cis,ciscyclooctadiene, in the thermolysis of syn- and anti-tricyclo[4.2.0.0 ${ }^{2,5}$ ]-octane. ${ }^{1 \mathrm{a}}$

Upon exposure to air, the trans-olefinic protons of compound 26 apparently shift upfield. Treatment of this new compound

[^4]
## Scheme 6. Tether Influence on Thermolysis ${ }^{a}$


${ }^{a}$ (a) At $90^{\circ} \mathrm{C}$, benzene; (b) $1 \mathrm{~atm} \mathrm{O}_{2}, \mathrm{TBSCl}$, imid., $\mathrm{Et}_{3} \mathrm{~N}$ (78\%); (c) $160^{\circ} \mathrm{C}$, benzene, or $90^{\circ} \mathrm{C}$, DBU, benzene ( $98 \%$ ); (d) MeMgBr , (2.2 equiv) benzene, $110^{\circ} \mathrm{C}$ ( $89 \%$, based on $90 \%$ conv.).


Figure 1. X-ray crystal structure of thermolysis product 26 reacted with air and 3,5-dinitrobenzoyl chloride.
with 3,5-dinitrobenzoyl chloride generated a crystalline material that confirmed our suspicion (Figure 1); the thermolysis product possessed a strained trans-olefin that epoxidizes readily in air. In an optimized procedure, the thermolysis is run at $90^{\circ} \mathrm{C}$ in benzene for 3 h and is subsequently exposed to $\mathrm{O}_{2}$ (1 atm), followed by TBS protection of the primary alcohol to give epoxide 27 in 78\% yield (Scheme 6). Of particular importance, this result shows that unlike the examples described in Table 1 and eqs 2 and 3, thermolysis of a polyfused ring system with the cis,syn,cis-relative stereochemistry and no bridging tether rearranges in a formally symmetry-allowed fashion, but stops at the cis,trans-cyclooctadiene intermediate.

A minor product isolated in the thermolysis of diol $\mathbf{2 4}$, which contained only two olefinic protons and a carbonyl stretch by IR, is ketone 28. It is expected that this compound arose through a [3,3]-sigmatropic rearrangement of compound 26, much like that which is occurring in eq 3 of Scheme 1. Whereas the cyclononadiene is only a predicted intermediate in the previous thermolyses, the oxygen substituent in this case facilitates the oxy-Cope and stabilizes the nine-membered ketone-containing product 28. The thermolysis of the diol 24 could be optimized to give exclusively the cyclononenone 28 by heating substrate 24 to $160^{\circ} \mathrm{C}$ or by warming to $90^{\circ} \mathrm{C}$ in the presence of DBU. To determine whether cis,trans-cyclooctadiene 26 is indeed an intermediate in the formation of the nine-membered ring compound 28, the starting diol 24 was first heated to $90^{\circ} \mathrm{C}$ in benzene for 3 h to provide 26, and was then subjected to DBU
and heated to $90^{\circ} \mathrm{C}$ for an additional 3 h . The nine-membered ring ketone $\mathbf{2 8}$ was isolated in $98 \%$ after purification on silica.

These results indicate that the bridge used to prepare the photoadducts in Table 1 is responsible for the symmetryforbidden fragmentation pathway observed in these thermolyses. Remarkably, similar control over the stereochemical course of the thermolysis is possible by even the introduction of a temporary bridging element. Treatment of diol $\mathbf{2 4}$ with MeMgBr ( 2.2 equiv) in benzene by heating it to $110^{\circ} \mathrm{C}$ for 6 h yielded cis,cis-cyclooctadiene $\mathbf{2 9}$ in $80 \%$ with a $10 \%$ recovery of starting material (Scheme 6). Presumably, the bridging magnesium chelate established between the two alkoxide groups is sufficient to steer the fragmentation toward the symmetry-forbidden pathway. The structural and stereochemical identity of compound 29 was confirmed by correlating this product with thermal adduct 18, a structure established unambiguously through X-ray crystallography. Interestingly, our inability to effect a thermal oxy-Cope rearrangement on compound 29 provides additional support that its formation is through a unique and separate pathway from the trans-isomer 26.

## Summary

The above observations highlight the substantial influence a bridging substituent can have in the thermal fragmentation of polyfused cyclobutanes with the cis,syn,cis-relative stereochemistry. In the absence of the bridge, the reaction proceeds in a formal symmetry-allowed manner through a cis,trans-cyclooc-
tadiene product, whereas when a conformationally restrictive tether is present, a symmetry-forbidden process is followed to yield a cis,cis-cyclooctadiene-containing 5-8-5 product.

Overall, these highly strained, polyfused cyclobutane systems provide a unique and rapid entry into the 5-8-5 ring system, a framework found in several diterpenoid natural products. Given the variety of relative stereochemical relationships in the ring fusions of the possible natural product targets, it is particularly important to have a flexible and predictable method for generating these materials in a concise and stereocontrolled manner. Knowledge on how to manipulate the relative stereochemistry in these thermal fragmentations provides a unique opportunity for accessing a wider range of suitable targets.

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Supporting Information Available: Experimental procedures and data on new compounds are provided (PDF). This material is available free of charge via the Internet at http://pubs.acs.org.

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